Resistance Operating Concept

May 2019
Initial Series Concept

• The SOCEUR **UW/Resistance** Seminar series established in **2014**, as an academic and practitioner network/forum to allow Baltic, Nordic, and other relevant partner nations to discuss, exchange, and conduct applied research and analysis on **Unconventional Warfare (UW)**, **resistance** movements, and **partisan** warfare in partnership with SOCEUR in a non-classified environment.

• The aim was to create **common terminology** and understanding, coordinate with other UW and resistance activities, and promote whole-of-government solutions and awareness of this activity; **Total Defense. NATO has no such doctrine, only Resilience in Article 3**

Four Levels of Effort

• Develop an Institutional and Subject Matter Expert (SME) Knowledge Network

• Planning

• Table Top Exercises (TTX)

• Training

Methodology

• The series had a seminar format with output objectives: After Action Reports (AARs) captured terminology and gaps

• Presentations by specialists/academics to provide necessary frameworks/foundations

• Small group syndicates addressed specific topics in the UW/resistance domain
Participants and History

Participants

• Estonia, Latvia, Lithuania, Poland, Finland, Sweden, Norway, Denmark, USA, UK, Netherlands, NSHQ
• Goal was 30-40 relevant military/civilian attendees from partner nation SOF, ministries of defence, foreign affairs, interior, other executive agencies, and academics

History

• Project begun July 2013.
• Seminar I: Warsaw, Feb 14
• Seminar II: Tartu, Nov 14 (*Tartu Essays*)
• Seminar III: Vilnius, Jul 15
• Seminar IV: Riga, Nov 15
• Seminar V: Krakow, Apr 16
• Seminar VI: Stockholm, Jul 16
• Workshop/TTX: Stuttgart, Mar 17 (*ROC V1*)
• Workshop: Krakow, Nov 17
• TTX: Oberammergau, Mar 18 (*IA Participation*)
• Workshop: Riga, Oct 18 (*160+; ROC V4*)
Effects

- Revised definitions in Partner Nation UW / Resistance doctrinal documents
- Increased regional cooperation
- Provided leverage for integration of whole of government effort, e.g. Ministries of Interior and Foreign Affairs, in Resistance preparation
- Provided a basis to begin policy development discussion for UW / Resistance options
- Produced a written Resistance Operating Concept (ROC) to inform planning and doctrine

Lessons Learned

- Avoid US centric approaches
- Partners already have a rich tradition and history of resistance
- Focus on Underground and Auxiliary may be more important than Guerrillas. Necessary to find correct balance – strategy
- Agreed terminology is necessary for common understanding
- Establishing legal/ policy framework is critical
Resistence Operating Concept (ROC)

 Chapters

- CH 1 Introduction
- CH 2 Resilience
- CH 3 Resistance
- CH 4 Interagency Preparation and Planning

 Appendices

- Legal Considerations
- Nonviolent Resistance
- Hybrid Warfare
- WWII Case Studies
- Cold War Case Studies
- Lessons Learned
- Assessing Resilience
- Population Interaction w/ Occupier
- SWE MSB Pamphlet
- Interagency Planning Chart

Publication of final version – 2019
Swedish National Defense University
Resistance
(What it is and What it is not)
Resistance versus Insurgency

Resistance
A nation’s organized, whole of society effort, encompassing the full range of activities from nonviolent to violent, led by a legally established government (potentially exiled/displaced) to reestablish independence and autonomy within its sovereign territory that has been wholly or partially occupied by a foreign power.

Features
- Prepared Pre-Crisis
- Legitimate Government Support
- Legal and Policy Frameworks
- Whole of Government
- Whole of Society
- National Defense against an Invader/Occupier

Insurgency
The organized use of subversion and violence to seize, nullify, or challenge political control of a region. Insurgency can also refer to the group itself. (JP 3-24)

Features
- Opposes Established (often legitimate) Government
- Seeks to Establish (legitimate) Government
- Beginning is not typically Whole of Society
- Seeks ideological or identity based support (political)
**Unconventional Warfare (UW)**

Operations and activities that are conducted to enable a resistance movement or insurgency to coerce, disrupt, or overthrow a government or occupying power by operating through or with an underground, auxiliary, and guerrilla force in a denied area. (JP 3-05)

**Collective Seminar Definitions**

**Resilience**

The will and ability to withstand external pressure and influences and/or recover from the effects of those pressures or influences.

**Resistance**

A nation’s organized, whole of society effort, encompassing the full range of activities from nonviolent to violent, led by a legally established government (potentially exiled/displaced or shadow) to reestablish independence and autonomy within its sovereign territory that has been wholly or partially occupied by a foreign power.
Resilience as a Foundation of Resistance
Resistance Functions and Organization

**Resistance Functions (networks)**

- Recruitment
- Intelligence
- Financing
- Logistics / Sustainment
- Training
- Communications
- Security

**Resistance Organization**

- *Underground, Auxiliary, Guerrillas, Public Component (Traditional four)*
- Shadow Government
- Exiled/ Displaced Government
<table>
<thead>
<tr>
<th>Ministry of Defense (MOD)</th>
<th>During Pre-Crisis/Deterrence</th>
<th>During Crisis</th>
<th>During Enemy Occupation</th>
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<tbody>
<tr>
<td>• Resistance focused training</td>
<td>• Activate resistance</td>
<td>• Prepare for incoming allied forces and conduct activities against occupier guided by political leadership of exiled government</td>
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<td>• Lead resistance planning and preparation</td>
<td>• Stock caches</td>
<td>• Conduct sabotage, subversion, intelligence gathering</td>
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<td>• Engage in joint training and exercises</td>
<td>• Distribute equipment</td>
<td>• Recruit, train and equip additional resistance underground and guerrilla members</td>
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<td>• Purchase specialized equipment and supplies</td>
<td>• Disperse select leadership to external, pre-planned locations</td>
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<td>• Designate cache sites and store equipment and supplies</td>
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<tr>
<th>Ministry of Interior (MOI)</th>
<th>During Pre-Crisis/Deterrence</th>
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<td>• Assist the national legislative body in writing laws</td>
<td>• Conduct raids and arrests of select known subversive elements that are assisting the foreign adversarial power</td>
<td>• Gather and pass intel</td>
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<td>• Identify, monitor and disrupt subversive elements within the population</td>
<td>• Increase surveillance of groups and individuals suspected of assisting the adversarial power</td>
<td>• Support and mask the activities of local law enforcement agencies</td>
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<td></td>
<td>• Employ any authorized emergency powers</td>
<td>• Maintain law enforcement against traditional criminal activities</td>
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<th>Agency for Disaster Response or Civil Emergency</th>
<th>During Pre-Crisis/Deterrence</th>
<th>During Crisis</th>
<th>During Enemy Occupation</th>
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<td>• Educate and inform the general public regarding individual and organizational responses to natural or man-made disasters;</td>
<td>• Prepare the nation for shortages and execute most disaster protocols</td>
<td>• Continue functioning as a disaster response agency</td>
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<td>• Assist the general public with the earliest stages of preparation and response</td>
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<td>• Assist and prepare the nation, as possible, for sustenance and power shortages during combat</td>
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Way Ahead: Coordination Efforts with Partner Nations

Near Term (- 6 Mos)
• Continued planning and coordination
• PNs develop and organize Resistance capabilities within their defense efforts, coordinate w/ SOCEUR
• SOCEUR sponsored R-TTX, WPC, Ramstein, Germany, June 2019
• Provide support to PNs in their Resistance efforts; JSOU courses, training, exercises

Mid Term (6-12 Mos)
• Plans integration
• Combined Resistance Messaging Strategy (Strategic Communication)
• Publication/translation of ROC
• SOCEUR sponsored Workshop, Hungary, Fall 2019
• Expand effort to other select nations within SOCEUR AOR

Long Term (12+ Mos)
• Resistance Seminars
• Incorporating Resistance plans into exercise program
• Continued support to PNs in their Resistance planning and preparation efforts
Questions?